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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/772,790

01/30/2001

Endre Markovits Schersl

HAR-104

CONFIRMATION NO. 2203

FORMALITIES LETTER

OC000000006212155

David I. ROCHE BAKER & McKENZIE 130 E. Randolph Drive Chicago, IL 60601

Date Mailed: 06/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/23/2001 BNGUYEN1 00000100 020400 09772790

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08-21-01

Attorney Docket No.: HAR-104

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attention: Customer Service Center Initial Patent Examination Division

Applicant:	Endre Markovits SCHERSL) I hereby certify that this correspondence) has been sent by U.S. Postal Service) EXPRESS MAIL No. EL049367135US) addressed to the) Commissioner of Patents and Trademarks) Washington, DC, 20231, on 20 Aug 2001
Title:	Composition Containing Phytosterol and Policosanol Esters of Fatty Acids for Reducing Blood Cholesterol and Triglycerides	
Serial No:	not yet known	Sura fanderwalher 20 Aug 2001
Filing Date:	not yet known) Sasan Vanderwalker 20 Aug 2001 date

COMMUNICATION

The Honorable Commissioner of Patents and Trademarks Washington, DC 20231

Dear Sir:

On January 30, 2001, the above-referenced Application was submitted without an Oath or Declaration pursuant to Rule 1.53. A *Notice to File Missing Parts of Application, Filing Date Granted*, dated June 21, 2001, has been received by Applicant. A copy is enclosed along with the required *Declaration and Power of Attorney* document.

The Applicant is a Small Entity. In accordance with Rule 1.16(e), to cover the surcharge of \$65.00, you are hereby authorized to deduct the surcharge and any additional fees which may be due at this time from our Deposit Account, No. 02-0400 (Baker & McKenzie). When identifying such a withdrawal, please use our Attorney Docket No. HAR-104.

Respectfully,

BAKER & McKENZIE 130 E. Randolph Drive Chicago, IL 60601

ph: (312) 861-8608 fx: (312) 861-8937

David I. Roche Reg. No. 30,797